

ALPHA LEASING
COMPLIANCE UNIT

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The Compliance Unit is responsible for managing the compliance risk of the Company.

The Compliance Unit comes under Senior Management, reports to the Audit Committee of the Board of Directors and is subject to the audits conducted by the Internal Audit Unit, as to the adequacy and effectiveness of its procedures.

The main responsibilities of the Unit include:

- Planning and managing compliance and monitoring the implementation of the regulatory framework.
- Communicating and representing the Company to the National Authorities or other Authorities.
- Preventing and suppressing money laundering.
- Preventing external fraud.

The Compliance Unit is administratively independent and has unrestricted access to all data and information necessary to fulfill its mission.

The Unit develops the Annual Compliance Plan, in accordance with the regulatory framework in force, as well as the Compliance Policies and Procedures Framework of the Bank.

The Unit cooperates with the competent Unit of the Company and Divisions of Alpha Bank, aiming to address jointly issues regarding the observance of the regulatory framework.